

**Attorney Docket No.: CUMP.095837**  
**First Named Inventor: Chappelow, Cecil C.**

**DECLARATION AND POWER OF ATTORNEY  
FOR A PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my  
name.

I believe I am an original, first and joint inventor, together with the other listed inventors, of the subject matter which is claimed and for which a patent is sought on the invention entitled PHOTOPOLYMERIZABLE COMPOSITIONS THAT INCLUDE ALICYCLIC/AROMATIC DIOXIRANES AND NOVEL DIOXIRANYL TETRAOXASPIRO [5.5] UNDECANES, the specification of which was filed on 03/05/2002 and is United States Patent Application Serial No. 10/091,791.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Sec. 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Sec. 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or Sec. 365(a) of any Patent Cooperation Treaty (PCT) international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

**None.**

I hereby claim the benefit under 35 United States Code Sec. 119(e) of any United States provisional applications listed below:

**None.**

I hereby claim the benefit under Title 35, United States Code, Sec. 120 of any United States application(s), or Sec. 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, Sec. 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Sec. 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

**None.**

I hereby appoint James H. Marsh, Jr., Reg. No. 24,533, J. David Wharton, Reg. No. 25,717, Penny R. Slicer, Reg. No. 34,017, Susan J. Wharton, Reg. No. 41,524, Judith L. Carlson, Reg. No. 41,904, Lana M. Knedlik, Reg. No. 42,748, Kelly S.K. Elsea, Reg. No. 43,604, Andrea F. Sellers, Reg. No. 44,102, Christopher D. Brandt, Reg. No. 44,556 and Mark C. Young, Reg. No. 48,670, at the Customer Number listed below, namely Stinson Morrison Hecker LLP, 1201 Walnut, Suite 2800, Kansas City, Missouri 64106-2150, telephone (816) 842-8600, as my attorneys, with full power of substitution, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent Office connected therewith in my behalf.

Direct all correspondence to: ☒ Customer Number 27910



27910

PATENT TRADEMARK OFFICE

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**FIRST NAMED INVENTOR:**

Cecil C. Chappelow

Cecil C. Chappelow  
(signature)

Date: September 26, 2002

Mailing Address: 12305 Cherokee Lane, Leawood, Johnson County, Kansas 66209 U.S.A.

Residence: Leawood, Johnson County, Kansas  
U.S.A.

Citizenship: United States of America

**CO-INVENTORS:**

Charles S. Pinzino

Charles S. Pinzino Date: Sept 26, 2002  
(signature)

Mailing Address: 8741 Chestnut Circle, Apt. 203, Kansas City, Jackson County, Missouri  
64131 U.S.A.

Residence: Kansas City, Jackson County, Missouri  
U.S.A.

Citizenship: United States of America

J. David Eick

J. David Eick Date: Sept. 26, 2002  
(signature)

Mailing Address: 21 The Woodlands, Gladstone, County of Clay, Missouri 64119  
U.S.A.

Residence: Gladstone, County of Clay, Missouri  
U.S.A.

Citizenship: United States of America

Andrew J. Holder

Andrew J. Holder Date: Sept 27, 2003  
(signature)

Mailing Address: 11913 W. 49th Terrace, Shawnee, KS 66216

Residence: Shawnee, Johnson County, Kansas

Citizenship: United States of America

**Co-Inventors Continued on Next Page**

Shin-Shi Chen

*S. S. Chen*  
(signature)

Date: September 26, 2002

Mailing Address: 5000 OAK ST. APARTMENT 615  
KANSAS CITY MO 64112 USA

Residence: SAME

Citizenship: U.S.

Li Jeang

*L. Jeang*  
(signature)

Date: September 26, 2002

Mailing Address: 5000 OAK ST. APARTMENT 604  
KANSAS CITY MO 64112 USA

Residence: SAME

Citizenship: U.S.



This copy is intended for the  
attached Divisional Patent  
Application submitted herewith.

JANUARY 23, 2003

PTAS

Chief Information Officer  
Washington, DC 20231  
www.uspto.gov

STINSON MORRISON HECKER LLP  
SUSAN J. WHARTON  
1201 WALNUT, SUITE 2800  
KANSAS CITY, MO 64106-2150



\*102251950A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF  
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RECORDATION DATE: 10/10/2002

REEL/FRAME: 013375/0747  
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
CHAPPELOW, CECIL C.

DOC DATE: 09/26/2002

ASSIGNOR:  
PINZINO, CHARLES S.

DOC DATE: 09/26/2002

ASSIGNOR:  
EICK, J. DAVID

DOC DATE: 09/26/2002

ASSIGNOR:  
HOLDER, ANDREW J.

DOC DATE: 09/27/2002

ASSIGNOR:  
CHEN, SHIN-SHI

DOC DATE: 09/26/2002

ASSIGNOR:  
JEANG, LI

DOC DATE: 09/26/2002

013375/0747 PAGE 2

ASSIGNEE:

CURATORS OF THE UNIVERSITY OF  
MISSOURI  
615 LOCUST STREET  
COLUMBIA, MISSOURI 65211

SERIAL NUMBER: 10091791  
PATENT NUMBER:

FILING DATE: 03/05/2002  
ISSUE DATE:

PAULA MCCRAY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)



10-17-2002



102251950

U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Cecil C. Chappelow, Charles S. Pinzino,  
J. David Eick, Andrew J. Holder, Shin-Shi Chen,  
and Li JeangAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: September 26, 2002

## Name and address of receiving party(ies):

Name: Curators of the University of Missouri

Internal Address: \_\_\_\_\_

Street Address: 615 Locust StreetCity: Columbia State: MO Zip: 65211Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/091,791

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Susan J. WhartonInternal Address: Stinson Morrison Hecker LLPStreet Address: 1201 Walnut, Suite 2800City: Kansas City State: Missouri Zip: 64106-2150

## 6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41) \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

19-4409

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Susan J. Wharton

Name of Person Signing

Susan J. Wharton  
Signature10/4/02

Date

Total number of pages including cover sheet, attachments, and document:

6

10/16/2002 LNUELLER 00000404 10091791

01 FC:8021

40.00 DP

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

## ASSIGNMENT

WHEREAS, we, Cecil C. Chappelow of Leawood, in the County of Johnson, and State of Kansas; Charles S. Pinzino of Kansas City, in the County of Jackson, and State of Missouri; J. David Eick of Gladstone, in the County of Clay, and State of Missouri; Andrew J. Holder of Shawnee, in the County of Johnson, and the State of KANSAS; Shin-Shi Chen of KANSAS CITY, in the County of JACKSON; and State of Missouri; and Li Jeang of KANSAS CITY, in the County of JACKSON, and State of Missouri, have invented certain new and useful improvements in PHOTOPOLYMERIZABLE COMPOSITIONS THAT INCLUDE ALICYCLIC/AROMATIC DIOXIRANES AND NOVEL DIOXIRANYL TETRAOXASPIRO [5.5] UNDECANES for which we have made application for Letters Patent of the United States, Serial No. 10/091,791, filed March 5, 2002; and

WHEREAS, Curators of the University of Missouri, a public corporation and body politic duly organized under the laws of the State of Missouri, and having its principal place of business at Columbia, County of Boone, and State of Missouri, is desirous of acquiring an interest in, to and under said invention, said application and any and all Letters Patent which may be granted for or upon said invention in the United States of America and all countries foreign thereto;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, we, Cecil C. Chappelow, Charles S. Pinzino, J. David Eick, Andrew J. Holder, Shin-Shi Chen, and Li Jeang have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Curators of the University of Missouri the full and exclusive right, title and interest, throughout the world, in, to and under said invention as fully set forth and described in the above-identified application; in, to and under said application; in, to and under any and all refilings, divisions and continuations of said application; in, to and under any and all Letters Patent of the United States of America which may issue from said application, refilings,



divisions and continuations; in, to and under any and all reissues of said Letters Patent of the United States of America; in, to and under any and all applications for Letters Patent upon said invention which may hereafter be filed in any and all countries foreign to the United States of America; in, to and under any and all refilings, divisions and continuations of said foreign-filed applications; in, to and under any and all Letters Patent of countries foreign to the United States of America, which may issue from the said foreign-filed applications, refilings, divisions and continuations; and in, to and under any and all extensions of, and additions to, said Letters Patent of countries foreign to the United States of America, the same to be held and enjoyed by said Curators of the University of Missouri for its own use and behoof, and for its successors, legal representatives and assigns, to the full end of the term for which said Letters Patent may be granted, and we do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent in accordance with this assignment.

EXECUTED this 26 day of September, 2002.

Cecil C. Chappelow  
Cecil C. Chappelow

STATE OF Missouri :

: ss.

COUNTY OF Jackson :

Before me personally appeared Cecil C. Chappelow, to me known to be the person described in, and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth, on the day and year aforesaid.

S E A L

Carol J. Oyerly  
Notary Public

My Commission Expires:

CAROL JOYERLY  
NOTARY PUBLIC STATE OF MISSOURI  
JACKSON COUNTY  
MY COMMISSION EXP. OCT. 26, 2003

EXECUTED this 26 day of September, 2002.

Charles S. Pinzino  
Charles S. Pinzino

STATE OF MISSOURI :  
: ss.  
COUNTY OF JACKSON :

Before me personally appeared Charles S. Pinzino, to me known to be the person described in, and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth, on the day and year aforesaid.

Carol J. Oyler  
Notary Public

SEAL

My Commission Expires:

**CAROL JOYERLY**  
**NOTARY PUBLIC STATE OF MISSOURI**  
**JACKSON COUNTY**  
MY COMMISSION EXP. OCT. 26, 2003

EXECUTED this 26 day of September, 2002

J. David Eick  
J. David Eick

STATE OF MISSOURI :  
: ss.  
COUNTY OF JACKSON :

Before me personally appeared J. David Eick, to me known to be the person described in, and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth, on the day and year aforesaid.

Carol J. Oyler  
Notary Public

SEAL

My Commission Expires:

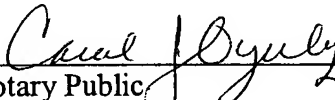
**CAROL JOYERLY**  
**NOTARY PUBLIC STATE OF MISSOURI**  
**JACKSON COUNTY**  
MY COMMISSION EXP. OCT. 26, 2003

EXECUTED this 27 day of September, 2002.

  
\_\_\_\_\_  
Andrew J. Holder

STATE OF Missouri :  
: ss.  
COUNTY OF JACKSON :

Before me personally appeared Andrew J. Holder, to me known to be the person described in, and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth, on the day and year aforesaid.

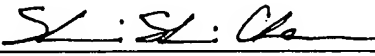
  
\_\_\_\_\_  
Notary Public

SEAL

My Commission Expires:

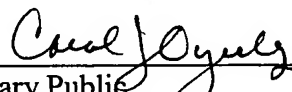
**CAROL JOYERLY**  
**NOTARY PUBLIC STATE OF MISSOURI**  
**JACKSON COUNTY**  
**MY COMMISSION EXP. OCT. 26, 2003**

EXECUTED this 26 day of September, 2002.

  
\_\_\_\_\_  
Shin-Shi Chen

STATE OF Missouri :  
: ss.  
COUNTY OF JACKSON :

Before me personally appeared Shin-Shi Chen, to me known to be the person described in, and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth, on the day and year aforesaid.

  
\_\_\_\_\_  
Notary Public

SEAL

My Commission Expires:

**CAROL JOYERLY**  
**NOTARY PUBLIC STATE OF MISSOURI**  
**JACKSON COUNTY**  
**MY COMMISSION EXP. OCT. 26, 2003**

EXECUTED this 26 day of September, 20 02

L. Jeang

Li Jeang

STATE OF Missouri :

: ss.

COUNTY OF JACKSON :

Before me personally appeared Li Jeang, to me known to be the person described in, and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth, on the day and year aforesaid.

Carol Joyerly

Notary Public

S E A L

My Commission Expires:

CAROL JOYERLY  
NOTARY PUBLIC STATE OF MISSOURI  
JACKSON COUNTY  
MY COMMISSION EXP. OCT. 26, 2003